



Attorney Docket No. 041618-60

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT application of )  
Ian Richard Joseph BATES ) Confirmation No. 9636  
Application No. 09/980,083 ) Group Art Unit: 3683  
Filed: March 1, 2002 ) Examiner: Bradley T. King  
For: VEHICLE AIR BRAKING SYSTEMS ) Date: February 28, 2007

**INFORMATION DISCLOSURE STATEMENT**

United States Patent and Trademark Office  
Customer Service Window, Mail Stop Amendment  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each foreign patent document is enclosed.

The attached references were cited in an opposition filed against a co-pending European application at the European Patent Office.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		Application Number	09/980,083		
		Filing Date	March 1, 2002		
		First Named Inventor	Ian Richard Joseph BATES		
		Group Art Unit	3683		
		Examiner Name	Bradley T. King		
Sheet	1	of	1	Attorney Docket Number	041618-60

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-4,361,204	11-30-1982	Earle	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	2	DE 195 15 895 A1	10-31-1996	Bruehmann		AB
	3	DE 197 14 513 A1	10-15-1998	Knaust Holger		AB
	4	DE 35 25 107 A1	02-20-1986	Maurer		AB
	5	EP 0 119 505 A1	09-26-1984	Beck		AB
	6	EP 0 335 086 A1	10-04-1989	Von Korff		AB
	7	EP 0 558 005 A2	09-01-1993	Endou		FULL
	8	WO 98/07588	02-26-1998	Sabelstroem		FULL
	9	WO 98/57730	12-23-1998	Larsson		FULL
	10	WO 97/11274	03-27-1997	Sabelstroem		FULL

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.